

**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**In re Application of:**

**Pai-Hung Pan**

**Serial No.: 09/944,506**

**Filed: August 30, 2001**

**For: SHALLOW TRENCH ISOLATION  
STRUCTURE WITHOUT CORNER  
EXPOSURE (as amended)**

**Confirmation No.: 4348**

**Examiner: G. Fourson III**

**Group Art Unit: 2823**

**Attorney Docket No.: 2269-2919.5US  
(96-0499.02/US)**

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence along with any attachments referred to or identified as being attached or enclosed is being deposited with the United States Postal Service as First Class Mail on the date of deposit shown below with sufficient postage and in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

December 8, 2006  
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**REQUEST FOR REFUND UNDER 37 C.F.R. § 1.26**

**MAIL STOP 16**

**Director of the U.S. Patent and Trademark Office  
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**Sir:**

On October 17, 2006, Applicant filed an Appeal Brief with the U.S. Patent and Trademark Office. The October 17, 2006 filing included Check No. 10282 in the amount of \$500.00 for the appeal brief filing fee. A copy of Check No. 10282 with the Office's endorsement on the back is attached hereto as Exhibit "A".

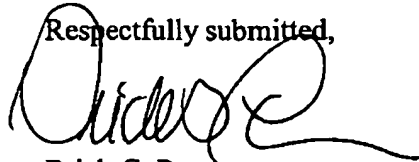
On October 31, 2006, the Office mailed a Notification of Non-Compliant Appeal Brief. Applicant responded to the Notification on November 16, 2006, by filing a corrected Appeal Brief with the Office. Although Applicant had previously paid the appeal brief filing fee, the

Serial No. 09/944,506

Office deducted the \$500.00 fee from Applicant's attorneys' deposit account. A copy of Applicant's attorneys' Deposit Account Statement is attached hereto as Exhibit "B".

Please refund the \$500.00 amount directly to Applicant's attorneys via their USPTO deposit account, TRASKBRITT, PC, Deposit Account no. 20-1469, and reference Attorney Docket No. 2269-2919.5US. A copy of this Request for Refund is enclosed for deposit account purposes.

Respectfully submitted,



Brick G. Power  
Registration No. 38,581  
Attorney for Applicant(s)  
TRASKBRITT  
P.O. Box 2550  
Salt Lake City, Utah 84110-2550  
Telephone: 801-532-1922

Date: December 8, 2006  
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|-------|------|--------------------|---------------------------|-------------|-------------|---------|
| 11/01 | 624  | 2015813            | 1804-TM1095US             | 7205        | \$100.00    | \$23,26 |
| 11/01 | 625  | 2015813            | 1804-TM1095US             | 7201        | \$400.00    | \$22,86 |
| 11/01 | 91   | 10790562           | 2578-4038.3US             | 1814        | \$130.00    | \$22,75 |
| 11/01 | 93   | 10790562           | 2578-4038.3US             | 1814        | \$130.00    | \$22,60 |
| 11/01 | 92   | 10790562           | 2578-4038.3US             | 1202        | \$950.00    | \$21,60 |
| 11/01 | 29   | 10867968           | 2402-4283.2US             | 1251        | \$120.00    | \$21,50 |
| 11/01 | 27   | 11152496           | 2269-5865.1US (03-0257.01 | 1464        | \$130.00    | \$21,40 |
| 11/01 | 28   | 11152496           | 2269-5865.1US (03-0257.01 | 1801        | \$790.00    | \$20,60 |
| 11/01 | 227  | 60696657           | 3077-7350US               | 8007        | \$20.00     | \$20,50 |
| 11/03 | 1    | 11266836           | 2269-3854.10US (98-0854.1 | 1806        | \$180.00    | \$20,40 |
| 11/03 | 2    | 10415781           | 2676-4614.1US             | 2201        | \$300.00    | \$20,10 |
| 11/03 | 90   | 09913345           | 5034US                    | 1201        | \$200.00    | \$19,90 |
| 11/03 | 54   | 10440589           | 3375.8US (95-0999.07/US)  | 1806        | -\$180.00   | \$20,00 |
| 11/03 | 2218 | 77036363           | 3224-TM2510               | 7001        | \$650.00    | \$19,40 |
| 11/06 | 550  | 77036637           | 3224-TM2511US             | 7001        | \$650.00    | \$18,70 |
| 11/06 | 179  | 60422289           | 3139-6328IN ERC/CSK       | 8007        | \$20.00     | \$18,70 |
| 11/06 | 19   | 11448141           |                           | 9204        | -\$1,190.00 | \$19,90 |
| 11/07 | 5    | 7125455            | 2402-6049.1SG             | 8013        | \$25.00     | \$19,90 |
| 11/07 | 66   | 11346761           | 3077-7568.1US             | 8021        | \$40.00     | \$19,90 |
| 11/07 | 1554 | 11556988           | 2507-8055US (22196-US)    | 1011        | \$300.00    | \$19,60 |
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| 11/07 | 1555 | 11556988           | 2507-8055US (22196-US)    | 1111        | \$500.00    | \$18,90 |
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| 11/07 | 9    | P112737            |                           | 1463        | \$200.00    | \$18,40 |
| 11/07 | 10   | P112736            |                           | 1463        | \$200.00    | \$18,20 |
| 11/07 | 11   | P112735            |                           | 1463        | \$200.00    | \$18,00 |
| 11/07 | 12   | P112734            |                           | 1463        | \$200.00    | \$17,80 |

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| 11/07 13   | P112733         |                           | 1463 | \$200.00     | \$17,60 |
| 11/07 483  | 7132280         | 2578-4038.2US; 4038.3US   | 8021 | \$80.00      | \$17,52 |
| 11/07 488  | 7132280         | 2578-4038.2US; 4038.3US   | 8021 | \$80.00      | \$17,44 |
| 11/08 7    | 10579456        |                           | 9204 | -\$50.00     | \$17,49 |
| 11/08 403  | 11177498        | 2183-7354US               | 8021 | \$40.00      | \$17,49 |
| 11/08 1857 | 78291330        | 3071-TM1903US             | 7004 | \$300.00     | \$17,19 |
| 11/08 1    | 10233294        | 2269-5358US               | 1201 | \$200.00     | \$16,99 |
| 11/08 456  | 11205366        | 1423-4202.2 AND 4202.3US  | 8021 | \$80.00      | \$16,87 |
| 11/08 2066 | 78329169        | 3127-TM1998US             | 7004 | \$300.00     | \$16,57 |
| 11/08 280  | 11450253        |                           | 9204 | -\$65.00     | \$16,60 |
| 11/08 282  | 11450253        |                           | 9204 | -\$225.00    | \$16,86 |
| 11/09 41   | 10701383        | 2269-3375.10US (95-0999.0 | 1501 | \$1,400.00   | \$15,46 |
| 11/09 42   | 10701383        | 2269-3375.10US (95-0999.0 | 8001 | \$15.00      | \$15,44 |
| 11/09 727  | 78546538        | 3048-TM2082US             | 7003 | \$100.00     | \$15,34 |
| 11/09 19   | SUBSCRIPTION    |                           | 8001 | \$6.00       | \$15,34 |
| 11/09 28   | SUBSCRIPTION    |                           | 8001 | \$18.00      | \$15,32 |
| 11/09 57   | SUBSCRIPTION    |                           | 8001 | \$27.00      | \$15,29 |
| 11/13 246  | 6182696         | 2617.01-7437US            | 8021 | \$160.00     | \$15,13 |
| 11/13 263  | 6182696         | 2617.01-7437US            | 8021 | \$160.00     | \$14,97 |
| 11/13 1443 | 78659325        | 2671-TM2151US             | 7004 | \$150.00     | \$14,82 |
| 11/13 1495 | 78707328        | 2671-TM2271US             | 7004 | \$150.00     | \$14,67 |
| 11/13 120  | 5843309         | 2836-6739US               | 2552 | \$1,150.00   | \$13,52 |
| 11/13 121  | 5843309         | 2836-6739US               | 2555 | \$65.00      | \$13,46 |
| 11/13 1604 | 76323894        | 1858-TM1728US             | 7004 | \$150.00     | \$13,37 |
| 11/13 1729 | 78405235        | 1858-TM2013US             | 7004 | \$150.00     | \$13,16 |
| 11/13 8    | 10554041        | 3129-7506US               | 1614 | -\$200.00    | \$13,36 |
| 11/13 9    | 10554041        | 3129-7506US               | 1615 | -\$50.00     | \$13,47 |
| 11/13 18   | 10554041        |                           | 9204 | -\$865.00    | \$14,27 |
| 11/13 1    | 10968579        | 2676-6687US               | 2201 | \$500.00     | \$13,77 |
| 11/13 1    | 10303157        | 2676-5563US               | 2202 | -\$25.00     | \$13,80 |
| 11/13 2    | 10303157        | 2676-5563US               | 2201 | -\$200.00    | \$14,00 |
| 11/13 218  | 11595068        |                           | 9204 | -\$200.00    | \$14,20 |
| 11/14 66   | 11547993        | 2578-7981US               | 8021 | \$40.00      | \$14,16 |
| 11/14 72   | 11172326        | 2269.2825-15US (90-0051.1 | 1501 | \$1,400.00   | \$12,76 |
| 11/14 73   | 11172326        | 2269.2825-15US (90-0051.1 | 1504 | \$300.00     | \$12,46 |
| 11/14 74   | 11172326        | 2269.2825-15US (90-0051.1 | 8001 | \$15.00      | \$12,44 |
| 11/14 360  | 7105930         | 2269-5709.1SG JAW/CSK     | 8013 | \$25.00      | \$12,42 |
| 11/14 22   | 11586071        |                           | 9204 | -\$150.00    | \$12,57 |
| 11/15 20   | 10114670        | 3003-5225.1US             | 1251 | \$120.00     | \$12,49 |
| 11/15 2105 | 11559867        | 2507-7850US (22173-US)    | 1011 | \$300.00     | \$12,19 |
| 11/15 2106 | 11559867        | 2507-7850US (22173-US)    | 1111 | \$500.00     | \$11,69 |
| 11/15 2107 | 11559867        | 2507-7850US (22173-US)    | 1311 | \$200.00     | \$11,49 |
| 11/15 2108 | 11559867        | 2507-7850US (22173-US)    | 1202 | \$600.00     | \$10,89 |
| 11/16 3    | E-REPLENISHMENT |                           | 9203 | -\$16,723.00 | \$27,57 |
| 11/16 33   | 10814801        | 3139-6349.1US             | 1801 | \$790.00     | \$26,78 |
| 11/16 24   | SUBSCRIPTION    |                           | 8001 | \$6.00       | \$26,78 |
| 11/16 34   | SUBSCRIPTION    |                           | 8001 | \$18.00      | \$26,76 |
| 11/16 3    | 10737656        | 2078-5658US               | 2201 | \$100.00     | \$26,66 |

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|------------|-----------------|---------------------------|------|--------------|---------|
| 11/17 8    | P112800         |                           | 1463 | \$200.00     | \$26,46 |
| 11/17 9    | P112799         |                           | 1463 | \$200.00     | \$26,26 |
| 11/17 10   | P112798         |                           | 1463 | \$200.00     | \$26,06 |
| 11/17 11   | P112797         |                           | 1463 | \$200.00     | \$25,86 |
| 11/17 12   | P112796         |                           | 1463 | \$200.00     | \$25,66 |
| 11/17 298  | 10327631        | 2183-5650US AND 5651US    | 8021 | \$80.00      | \$25,58 |
| 11/17 59   | 09652585        | 97-1102.05                | 1501 | \$1,400.00   | \$24,18 |
| 11/17 60   | 09652585        | 97-1102.05                | 8001 | \$30.00      | \$24,18 |
| 11/17 78   | 10794696        | 2269-4299.1US (99-0876.01 | 1501 | \$1,400.00   | \$22,78 |
| 11/17 79   | 10794696        | 2269-4299.1US (99-0876.01 | 8001 | \$15.00      | \$22,78 |
| 11/17 572  | 11590126        | 2578-7990US               | 8021 | \$40.00      | \$22,68 |
| 11/17 115  | 09944506        | 2919.5US (96-499.2)       | 1402 | \$500.00     | \$22,18 |
| 11/17 101  | E-REPLENISHMENT |                           | 9203 | -\$25,000.00 | \$47,18 |
| 11/17 3286 | 11560991        | 2507-7879US (22187-US)    | 1011 | \$300.00     | \$46,88 |
| 11/17 3289 | 11560991        | 2507-7879US (22187-US)    | 1202 | \$700.00     | \$46,18 |
| 11/17 3287 | 11560991        | 2507-7879US (22187-US)    | 1111 | \$500.00     | \$45,68 |
| 11/17 3288 | 11560991        | 2507-7879US (22187-US)    | 1311 | \$200.00     | \$45,48 |
| 11/17 3290 | 11560991        | 2507-7879US (22187-US)    | 1201 | \$400.00     | \$45,08 |
| 11/20 29   | 11560991        | 2507-7879US               | 8021 | \$40.00      | \$45,08 |
| 11/20 79   | 6564478         | 3052-5490.1US             | 2551 | \$450.00     | \$44,68 |
| 11/20 760  | 0637351         | 2617.01-TM2262US          | 7205 | \$100.00     | \$44,58 |
| 11/20 761  | 0637351         | 2617.01-TM2262US          | 7201 | \$400.00     | \$44,18 |
| 11/20 12   | 11123466        | 2269-5682.1US (02-1370.01 | 1464 | \$130.00     | \$43,98 |
| 11/20 13   | 11123466        | 2269-5682.1US (02-1370.01 | 1801 | \$790.00     | \$43,18 |
| 11/20 14   | 11170901        | 2269-2825.18US (90-0051.2 | 1501 | \$1,400.00   | \$41,78 |
| 11/20 15   | 11170901        | 2269-2825.18US (90-0051.2 | 8001 | \$15.00      | \$41,78 |
| 11/21 29   | 60800883        | 3077.02-7833US            | 8007 | \$20.00      | \$41,78 |
| 11/21 31   | 60739550        | 3077.02-7565US            | 8007 | \$20.00      | \$41,78 |
| 11/21 983  | 2416129         | 2617.01-TM2223US          | 7205 | \$100.00     | \$41,68 |
| 11/21 984  | 2416129         | 2617.01-TM2223US          | 7208 | \$200.00     | \$41,48 |
| 11/21 572  | 11536574        | 2507-7961US               | 8021 | \$40.00      | \$41,38 |
| 11/22 19   | 09944230        | 4294.1US (98-1208.1)      | 2801 | \$395.00     | \$40,98 |
| 11/22 20   | 09944230        | 4294.1US (98-1208.1)      | 2251 | \$60.00      | \$40,98 |
| 11/22 43   | 10345092        | 2676-5670US               | 2253 | \$510.00     | \$40,48 |
| 11/22 24   | E-REPLENISHMENT |                           | 9203 | -\$6,000.00  | \$46,48 |
| 11/22 1905 | 2412957         | 2617.01-TM2226US          | 7205 | \$100.00     | \$46,38 |
| 11/22 1906 | 2412957         | 2617.01-TM2226US          | 7208 | \$200.00     | \$46,18 |
| 11/22 207  | 5452748         | 3141-6884US               | 2553 | \$1,900.00   | \$44,28 |
| 11/24 17   | 11502810        | 2507-031                  | 8021 | \$40.00      | \$44,18 |
| 11/24 30   | 11502974        | 2507-031                  | 8021 | \$40.00      | \$44,18 |
| 11/24 18   | 29255166        | 2465-7574US               | 1453 | \$1,500.00   | \$42,68 |
| 11/24 20   | 29255166        | 2465-7574US               | 1464 | \$130.00     | \$42,58 |
| 11/24 19   | 29255166        | 2465-7574US               | 1814 | \$130.00     | \$42,38 |
| 11/24 62   | 29255166        | 2465-7574US               | 1502 | \$800.00     | \$41,58 |
| 11/24 78   | 29225166        | FAN-052A-00-D             | 8001 | \$30.00      | \$41,58 |
| 11/24 12   | SUBSCRIPTION    |                           | 8001 | \$3.00       | \$41,58 |
| 11/24 26   | SUBSCRIPTION    |                           | 8001 | \$21.00      | \$41,58 |
| 11/27 23   | 11515514        |                           | 9204 | -\$65.00     | \$41,58 |

|         |                  |                   |                     |                |             |
|---------|------------------|-------------------|---------------------|----------------|-------------|
| 11/28 2 | 0185310306       | 2578-7990US       | 8014                | \$25.00        | \$41,573.61 |
|         | START<br>BALANCE | SUM OF<br>CHARGES | SUM OF<br>REPLENISH | END<br>BALANCE |             |
|         | \$23,369.61      | \$32,984.00       | \$51,188.00         | \$41,573.61    |             |

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**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
BEFORE THE BOARD OF PATENT APPEALS AND INTERFERENCES**

**In re Application of:**

**Pai-Hung Pan**

**Serial No.: 09/944,506**

**Filed: August 30, 2001**

**For: SHALLOW TRENCH ISOLATION  
STRUCTURE WITHOUT CORNER  
EXPOSURE (as amended)**

**Confirmation No.: 4348**

**Examiner: G. Fourson III**

**Group Art Unit: 2823**

**Attorney Docket No.: 2269-2919.5US**

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**APPEAL BRIEF**

Mail Stop Appeal Brief – Patents  
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P.O. Box 1450  
Alexandria, VA 22313-1450

11/17/2006 MBIZUNES 00000049 201469 09944506  
01 FC:1402 500.00 DA

Adjustment date: 01/23/2007 SDENB0B1  
11/17/2006 MBIZUNES 00000049 201469 09944506  
01 FC:1402 500.00 CR

**Attn: Board of Patent Appeals and Interferences**

**Sirs:**

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**§ 41.37(c)(1). The fee required by 37 C.F.R. § 41.20(b)(2) has already been paid.**

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